

# Exam - Conflict of Interest Policy

Redborne Upper School

# **Exam - Conflict of Interest Policy**

Centre name	Redborne Upper School
Centre number	15101
Date policy first created	06/11/2023
Current policy approved by	Chris Graves
Current policy reviewed by	Donna Nunn
Date of review	19/11/2024
Date of next review	19/11/2025

# Key staff involved in the policy

Role	Name
Head of centre	Olly Button
Senior leader(s)	Andrew French (Deputy Headteacher) Chris Graves (Deputy Headteacher) Graeme Armstrong (Assistant Headteacher) Nikki Brennan (Assistant Headteacher) Matthew Jones (Assistant Headteacher) Dan McGuigan (Assistant Headteacher) James Trapp (Assistant Headteacher) Kirsty Wheeler (Assistant Headteacher)
Exams officer	Donna Nunn
Other staff (if applicable)	Tara Baskerville

This policy is reviewed and updated annually to ensure that conflicts of interest at Redborne Upper School are managed in accordance with current requirements and regulations.

Reference in the policy to **GR** relates to relevant sections of the current JCQ document **General Regulations for Approved Centres**.

#### Introduction

It is the responsibility of the head of centre to ensure that Redborne Upper School has a written conflicts of interest policy for inspection that must be reviewed and updated annually. This policy confirms that Redborne Upper School:

Manages conflicts of interest **by informing the awarding bodies**, before the published deadline for entries for each examination series, of:

- any members of centre staff who are taking qualifications at their own centre which include internally assessed components/units
- any members of centre staff who are teaching and preparing members of their family (which includes step-family, foster family and similar close relationships) or close friends and their immediate family (e.g. son/daughter) for qualifications which include internally assessed components/units, and

#### maintains internal records of all instances where:

- exams office staff have members of their family (which includes step-family, foster family and similar close relationships) or close friends and their immediate family (e.g. son/daughter) being entered for examinations and assessments either at the centre itself or other centres
- centre staff are taking qualifications at their own centre which do not include internally assessed components/units
- centre staff are taking qualifications at other centres (GR 5.3)

## Purpose of the policy

The purpose of this policy is to confirm how Redborne Upper School manages conflicts of interest under normal delivery arrangements in accordance with the regulations.

## **General principles**

A process is in place to

Collect conflicts of interest.

from All centre-staff to Identify and manage any potential conflicts of interest.

## **Declaration process**

A Google form/email is issued at the start of each academic year. All staff are invited to complete this and a central log kept. Identify any centre staff that might have a conflict of interest and inform the relevant awarding bodies.

#### **Managing conflicts of interest**

A conflicts of interest log is maintained and any potential conflict declared by centre staff is centrally recorded on the log. The relevant awarding body/bodies is/are informed (where required by the nature of the conflict) of specific conflicts of interest/centre staff declarations before the published deadline for entries for each examination series by identifying and following the individual awarding body's administrative process. The agreed measures/protocols taken/put in place to mitigate any potential risk to the integrity of the qualifications affected are recorded on the log and the affected member of staff informed of these measures/protocols

#### **Additional information:**

Not applicable

#### Roles and responsibilities

#### The role of the head of centre is to ensure:

- conflicts of interest are managed according to the requirements in GR 5.3
- internal records are maintained and that the records include details of the measures taken to mitigate any
  potential risk to the integrity of the qualifications affected
- the records are available where they may be requested by a JCQ Centre Inspector and/or awarding body staff
- the records are retained until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later
- that entering members of centre staff for qualifications at this centre is as a last resort in cases where the member of centre staff is unable to find another centre
- that proper protocols are in place to prevent the member of centre staff having access to examination
  materials prior to the examination and that other centre staff are briefed on maintaining the integrity and
  confidentiality of the examination materials
- that during the examination series the member of centre staff is treated in the same way as any other
  candidate entered for that examination, does not have access to examination materials and does not
  receive any preferential treatment

Additional responsibilities:

Not applicable

#### The role of the exams office/officer

To ensure the process for collecting declarations of interest is undertaken.

To identify and follow the awarding body's administrative process for submitting details of members of staff who are:

- taking qualifications which include internally assessed components/units at their own centre
- teaching and preparing members of their family (which includes step-family, foster family and similar close relationships) or close friends and their immediate family (e.g. son/daughter) for qualifications which include internally assessed components/units

To retain the records of the measures taken to mitigate any potential risk to the integrity of the qualifications affected until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later.

Additional responsibilities:

Not applicable

# **Changes 2024/2025**

Under heading **Introduction** reference to 'clear records' changed to **internal records** to reflect the change in GR 5.3j).

Formatting changes made to **Roles and Responsibilities** section.

## **Centre-specific changes**

No centre-specific changes